

# Time-reversal anomaly of 2+1d topological phases

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in collaboration with

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[1610.07010, 1611.01601, ...]

**Strings 2017, Tel Aviv**

The first quantum anomaly we learn is about a

- continuous symmetry,
- in even dimensions,
- with massless excitations,
- which are fermions.

Today I will talk about quantum anomaly of a

- continuous symmetry,
- in even dimensions,
- with massless excitations,
- which are fermions.

Today I will talk about quantum anomaly of a

- **discrete** symmetry,
- in even dimensions,
- with massless excitations,
- which are fermions.

Today I will talk about quantum anomaly of a

- **discrete** symmetry,
- in **odd** dimensions,
- with massless excitations,
- which are fermions.

Today I will talk about quantum anomaly of a

- **discrete** symmetry,
- in **odd** dimensions,
- **without any** massless excitations,
- which are fermions.

Today I will talk about quantum anomaly of a

- **discrete** symmetry,
- in **odd** dimensions,
- **without any** massless excitations,
- **and with anyons.**

For example, the 3d Chern-Simons theory

$$U(n)_{2n}$$

has a **secret parity symmetry**, but **with an anomaly**

$$\nu = \pm 2 \in \mathbb{Z}_{16}.$$

I'd like to explain this to you, using the rest of my time today.



**Note 1:** I won't distinguish parity and time-reversal unless necessary, which is OK thanks to CPT.

**Note 2:** I won't be careful about the **almost trivial spin TQFT** part in the talk, if you know what I mean.

**Note 3:** I will concentrate on one particular example for illustration, but the formalism is general.

Why should you care?

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I really don't know.

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Isn't it pretty funny that  $U(n)_{2n}$  is parity symmetric?

Why should you care?

I really don't know.

Isn't it pretty funny that  $U(n)_{2n}$  is parity symmetric?

That was a sufficient motivation for me to study it.

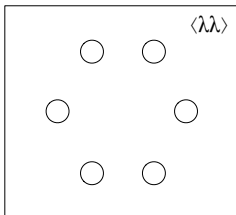
So, let's dive into it.

Why is the 3d  $U(n)_{2n}$  Chern-Simons theory parity symmetric?

There're many ways to show this but  
let me use a method which appeals to a 4d SUSY person like me...

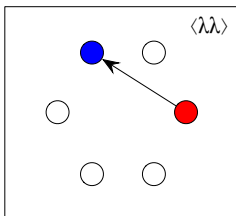
Consider 4d  $\mathcal{N}=1$   $SU(X)$  super Yang-Mills.

It has  $X$  vacua.



Here  $X = 6$ .

There are domain walls connecting different vacua of 4d  $SU(X)$  theory.



When  $n$  steps apart, the worldvolume theory is 3d  $\mathcal{N}=1$  supersymmetric

$$U(n)_X$$

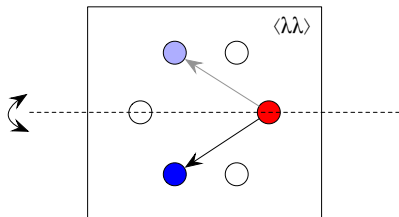
Chern-Simons theory (+ the center-of-mass mode.)

[Acharya-Vafa hep-th/0103011]

In the example above we have  $U(2)_6$ .



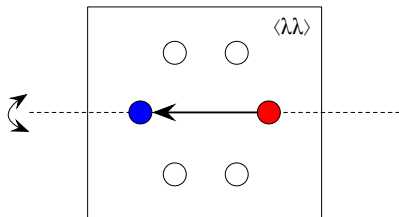
Spacetime parity sends  $\langle \lambda \lambda \rangle$  to  $\overline{\langle \lambda \lambda \rangle}$ .



It exchanges

$$U(n)_X \leftrightarrow U(X-n)_X.$$

Therefore, when  $X = 2n$ , we have:



meaning that

$$U(n)_{2n} \leftrightarrow U(n)_{2n}$$

should be parity symmetric.

The parity transformation

$$U(n)_X \leftrightarrow U(X-n)_X.$$

is in fact the **level-rank duality**. [Hsin-Seiberg, 1607.07457]

So far I've been using the  $\mathcal{N}=1$  convention for levels.

In the TQFT convention, we have

$$U(n)_X = \frac{SU(n)_{X-n} \times U(1)_{nX}}{\mathbb{Z}_n}$$

and

$$U(X-n)_X = \frac{SU(X-n)_n \times U(1)_{(X-n)X}}{\mathbb{Z}_{X-n}}$$

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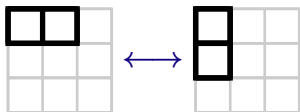
$$U(X-n)_X = \frac{SU(X-n)_n \times U(1)_{(X-n)X}}{\mathbb{Z}_{X-n}}$$

Let's concretely check that  $U(n)_{2n}$  is parity symmetric.

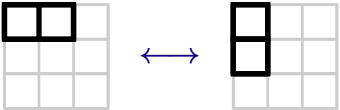
The anyons/quasiparticles/lines of  $U(n)_X$  are specified by Young diagrams which fit in a box of size  $n \times (X - n)$ .

The level-rank duality is the transpose.

Let's take  $n = 3$ ,  $X = 2n = 6$ . An example:



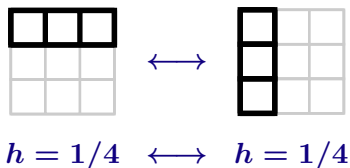
Computing anyons' spins  $h$  using standard formulas, we find


$$h = 2/3 \longleftrightarrow h = 1/3$$

This is as it should be, since the parity should do

$$h \longleftrightarrow -h.$$

Let's do another example.



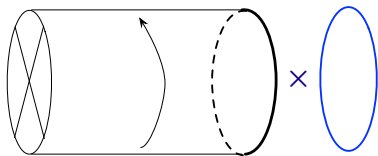
This is consistent with

$$h \longleftrightarrow -h$$

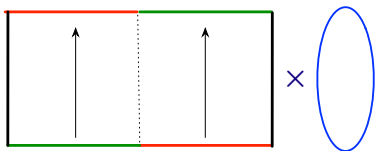
because this is a **spin TQFT**, for which  $h$  of anyons in the NS sector is defined only mod  $1/2$ .

Physically, there are very heavy but dynamical fermions in the system, which can change the spin of a quasiparticle by  $1/2$ .

Let's have some fun by putting  $U(n)_{2n}$  on non-orientable spacetimes. Consider the Möbius strip times a circle.



or equivalently



This has a torus boundary, and therefore creates a state in  $\mathcal{H}_{T^2}$ .

Call it a crosscap state  $|\text{crosscap}\rangle$ .



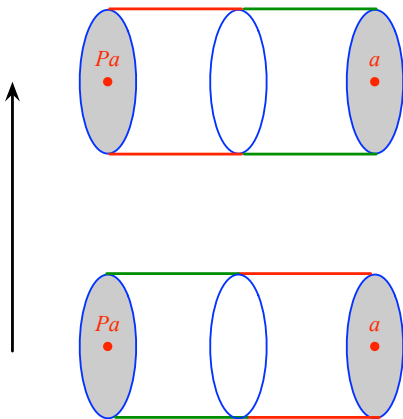
To determine the state

$$|\text{crosscap}\rangle = \left[ \begin{array}{|c|c|} \hline \text{red top} & \text{green top} \\ \hline \uparrow & \uparrow \\ \hline \text{green bottom} & \text{red bottom} \\ \hline \end{array} \right] \times \text{blue oval},$$

let us glue it to

$$|a\rangle = \text{white oval} \times \text{blue oval with red dot } a.$$

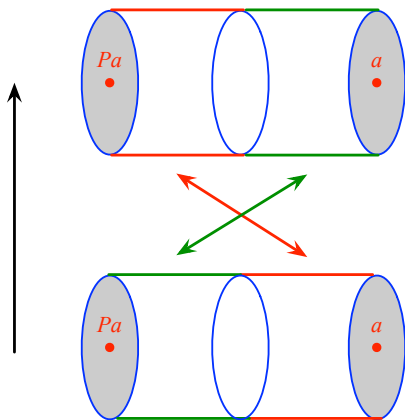
After some mental gymnastics, the geometry is



meaning that

$$\langle a | \text{crosscap} \rangle = \text{tr } P \text{ on Hilb. on } S^2 \text{ with } a \text{ and } Pa.$$

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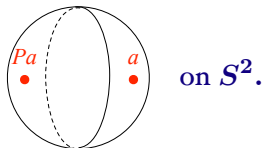
So we have

$$\begin{aligned}\langle a | \text{crosscap} \rangle &= \text{tr } P \text{ on Hilb. on } S^2 \text{ with } a \text{ and } Pa \\ &= \begin{cases} \pm 1 & \text{if } a = \overline{Pa}, \\ 0 & \text{otherwise.} \end{cases}\end{aligned}$$

Note that  $\overline{Pa} = Ta$  due to the CPT theorem. So

$$|\text{crosscap}\rangle = \sum_{a=Ta} \pm |a\rangle$$

where  $\pm$  in front of  $|a\rangle$  specifies the  $P$  eigenvalue of the state



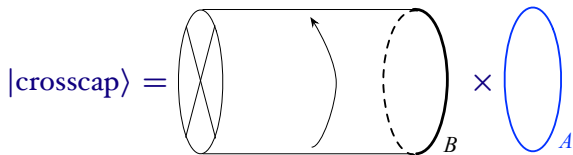
[Barkeshli-Bonderson-Cheng-Jian-Walker, 1612.07792]

For  $U(3)_6$  we have

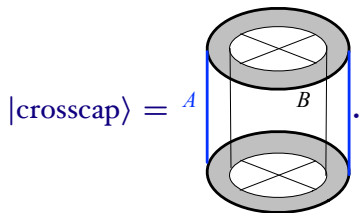
$$|\text{crosscap}\rangle = + \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} \pm \begin{array}{|c|c|c|} \hline \blacksquare & & \\ \hline \blacksquare & & \\ \hline \blacksquare & & \\ \hline & & \\ \hline \end{array} \pm \begin{array}{|c|c|c|} \hline \blacksquare & \blacksquare & \\ \hline \blacksquare & & \\ \hline & & \\ \hline & & \\ \hline \end{array} \pm \begin{array}{|c|c|c|} \hline \blacksquare & \blacksquare & \\ \hline \blacksquare & \blacksquare & \\ \hline & & \\ \hline & & \\ \hline \end{array} \\ \pm \begin{array}{|c|c|c|} \hline \blacksquare & \blacksquare & \blacksquare \\ \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} \pm \begin{array}{|c|c|c|} \hline \blacksquare & \blacksquare & \blacksquare \\ \hline \blacksquare & \blacksquare & \\ \hline & & \\ \hline \blacksquare & & \\ \hline \end{array} \pm \begin{array}{|c|c|c|} \hline \blacksquare & \blacksquare & \blacksquare \\ \hline \blacksquare & \blacksquare & \blacksquare \\ \hline \blacksquare & \blacksquare & \blacksquare \\ \hline & & \\ \hline \end{array} \pm \begin{array}{|c|c|c|} \hline \blacksquare & \blacksquare & \blacksquare \\ \hline \blacksquare & \blacksquare & \blacksquare \\ \hline \blacksquare & \blacksquare & \blacksquare \\ \hline \blacksquare & \blacksquare & \blacksquare \\ \hline \end{array} .$$

How do we determine the signs?

So far we displayed the crosscap state as



but let's now view it as



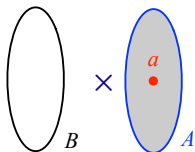
The geometry doesn't change under

$$B \mapsto B, \quad A \mapsto A + B.$$

The action of

$$B \mapsto B, \quad A \mapsto A + B$$

in the basis we're using



is  $S^{-1}TS$ .

This means

$$S^{-1}TS |\text{crosscap}\rangle \propto |\text{crosscap}\rangle$$

where

$$|\text{crosscap}\rangle = \sum_{a=Ta} \pm |a\rangle.$$

For  $U(3)_6$  this is enough to fix the signs essentially uniquely:

$$|\text{crosscap}\rangle = + \begin{array}{c} \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} - \begin{array}{|c|c|c|} \hline \boxed{\phantom{0}} & & \\ \hline \boxed{\phantom{0}} & & \\ \hline \boxed{\phantom{0}} & & \\ \hline \end{array} + \begin{array}{|c|c|c|} \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \\ \hline \boxed{\phantom{0}} & & \\ \hline & & \\ \hline \end{array} + \begin{array}{|c|c|c|} \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \\ \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \\ \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \\ \hline \end{array} \\ - \begin{array}{|c|c|c|} \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \boxed{\phantom{0}} \\ \hline & & \\ \hline & & \\ \hline \end{array} - \begin{array}{|c|c|c|} \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \boxed{\phantom{0}} \\ \hline \boxed{\phantom{0}} & & \\ \hline & & \\ \hline \end{array} + \begin{array}{|c|c|c|} \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \boxed{\phantom{0}} \\ \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \boxed{\phantom{0}} \\ \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \boxed{\phantom{0}} \\ \hline \end{array} - \begin{array}{|c|c|c|} \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \boxed{\phantom{0}} \\ \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \boxed{\phantom{0}} \\ \hline \boxed{\phantom{0}} & \boxed{\phantom{0}} & \boxed{\phantom{0}} \\ \hline \end{array} . \end{array}$$

with

$$S^{-1}TS |\text{crosscap}\rangle = \exp\left(\frac{2\pi i \cdot 2}{16}\right) |\text{crosscap}\rangle .$$

This phase is a manifestation of the anomaly of spatial parity  $\sim$  time-reversal.



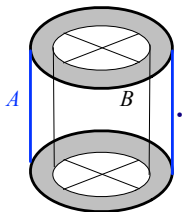
The anomalous phase

$$S^{-1}TS |\text{crosscap}\rangle = \exp\left(\frac{2\pi i \cdot 2}{16}\right) |\text{crosscap}\rangle .$$

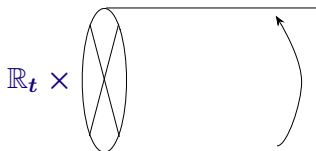
is associated to the operation

$$B \mapsto B, \quad A \mapsto A + B$$

in the geometry



Regarding  $A$  as the time direction, we see the system on



has the momentum

$$p = \frac{2}{16} \pmod{1}$$

which is the conserved quantity associated to the isometry.

In a non-anomalous theory, we have

$$p = n \in \mathbb{Z}.$$

This is because the  $2\pi$  rotation should not do anything:

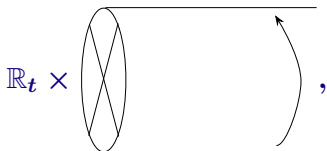
$$\exp [2\pi ip] = 1.$$

In an anomalous theory, this might not hold, because of phase ambiguity:

$$\exp [2\pi ip] \neq 1.$$

[Cho-Hsieh-Morimoto-Ryu, 1501.07285]

For example, on



a massless Majorana fermion in 3d has [Hsieh-Cho-Ryu,1503.01411]

$$p = \frac{1}{16} \pmod{1}$$

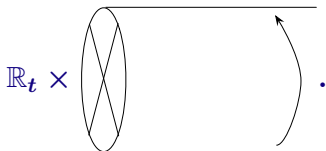
and we found that  $U(3)_6$  has twice the anomaly

$$p = \frac{2}{16} \pmod{1}.$$

$\nu$  Majorana fermions in 3d have the anomalous momentum

$$p = \frac{\nu}{16} \pmod{1}$$

on

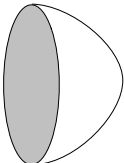


So  $\nu = 16$  fermions do not manifest anomalies in this geometry.

In fact this is a general feature:

Parity anomaly of this type of systems is a  $\mathbb{Z}_{16}$ -valued quantity.

To explain this, let us pause for a moment and consider  $U(1)$  anomaly in 4d, which is characterized by the anomaly inflow

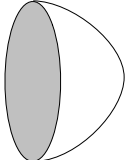
$$k \text{ 4d chiral fermions} \rightarrow \left( \text{D4-brane} \right) \leftarrow \exp\left[2\pi i k \int_X A \wedge F \wedge F\right]$$


The anomaly is characterized by  $k \in \mathbb{Z}$  since

$$\exp\left(2\pi i \int_X A \wedge F \wedge F\right)$$

is a general complex number of absolute value 1.

In our case, the anomaly is canceled by the anomaly inflow

$$k \text{ 3d Majorana fermions} \rightarrow \text{D4-brane} \leftarrow \exp[2\pi i \nu \eta_X]$$


where the 4d bulk term is the Atiyah-Patodi-Singer  $\eta$  invariant.

[Kapustin-Thorngren-Turzillo-Wang, 1406.7329] [Witten, 1605.02391]

The anomaly is characterized by  $\nu \in \mathbb{Z}_{16}$ , since

$$\exp(2\pi i \eta_X)$$

of any closed manifold is a 16-th root of unity.

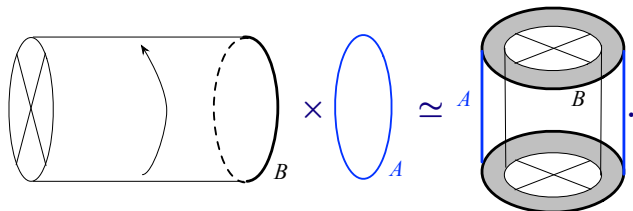
Summarizing, we noted that the 3d Chern-Simons theory

$$U(n)_{2n}$$

is secretly parity invariant, but has an anomaly

$$\nu = 2 \in \mathbb{Z}_{16}.$$

We arrived at this result by considering a state





Where to go from here?

Firstly, there are things to be cleaned up:

- 3d TQFT on oriented manifold : [Moore-Seiberg “RCFT”] and [Witten, “QFT and the Jones polynomial”].
- 3d TQFT on oriented manifold **with spin structure** : [Bruillard-Galindo-Hagge-Ng-Plavnik-Rowell-Wang, 1603.09294], [Bhardwaj-Gaiotto-Kapustin, 1605.01640].
- 3d TQFT on **non-orientable** manifold : [Barkeshli-Bonderson-Cheng-Jian-Walker, 1612.07792]

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**[math.QA]**

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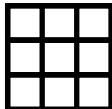
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- 3d TQFT on **non-orientable** manifold : [Barkeshli-Bonderson-Cheng-Jian-Walker, 1612.07792]

But we do not yet have a definitive treatment of 3d TQFT on **non-orientable** manifold **with pin structure**.

Somebody has to do that.

It's kind of tricky. For example, in  $U(3)_6$ , the anyons of type



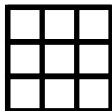
have the braiding

But if we put this on the crosscap it is problematic.

This only happens with **non-orientable** + **spin**.

And this makes my head hurt.

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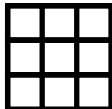
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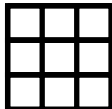
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Secondly, more importantly, suppose you want to use matching of these subtler/new anomalies to constrain the dynamics.

cf. [Gaiotto-Kapustin-Komargodski-Seiberg, 1703.00501]

If the anomaly can be realized by a TQFT,  
you can just add it to match the missing anomaly.

**So you need to know when an anomaly can be realized by a TQFT.**

That's it! Thanks for your attention.